

HAND DELIVERED

/D#110363

Due By April 30, 2010

Rhode Island Ethics Commission

2009 YEARLY FINANCIAL STATEMENT

| | | Time | II III |
|-------------------|---|--------|----------------------------|
| | DAVID A SEGAL 150 UNION STREET #515 PROVIDENCE RI 02903- | j i | 2007 0007 455 455 |
| - | | N N | |
| JNL PLE STA | QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 2009 THROUGH DECEMBER 31, LESS OTHERWISE SPECIFIED. EASE ANSWER ALL QUESTIONS AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLIATE. ANSWERS SHOULD BE PRINTED OR TYPED, and additional sheets may be used if more spaclarification of any question, read instruction sheet. | ICAE | BLE" SO |
| Note | e: If you are a state or municipal official or employee who is required to file a Yearly Financial Statement, a fa Statement is a violation of the law and may subject you to substantial penalties, including fines. If you received Financial Statement in the mail but believe you did not hold a public position in 2009 or 2010 that filing, you should contact the Ethics Commission (See Instruction Sheet for Contact information). | d a 20 | 009 Yearly |
| 2. | NAME OF OFFICIAL (INITIAL) HOME ADDRESS (STREET) (FIRST) (INITIAL) (INITIAL) (CITY/TOWN) (ZIP CODE | ?C |)_{ |
| 3. | MAILING ADDRESS (If different from home address) List Public Position(s) you hold and governmental unit: The Public Position (s) you hold and governmental unit: (MUNICIPALITY, STATE OR RECOGNITION) | YO (| |
| | (PUBLIC POSITION) (MUNICIPALITY, STATE OR R | EGIONA | AL) |
| | I was elected on $\frac{200.8}{(\text{date})}$. I was appointed on $\frac{1}{(\text{date})}$. I was hired on $\frac{1}{(\text{date})}$. | | |
| | If you no longer hold a public position, state date of termination or resignation | | |
| 4. | List elected office(s) for which you were/are a candidate in either calendar year 2009 or 2010 (Read in the following: NAME OF SPONS | nstru | ction #4) |
| 5. | List the following: NAME OF SPOUSE | | |
| | Wone | | |

| 6. | List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2009. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state of municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. If the public position or employment listed in #3, above, provides you with an amount of gross income in excess of \$250 it must be listed here. (Do Not List Amounts.) | | | | | | |
|-----|---|--|---|--|--|--|--|
| Par | NAME OF FAMILY MEMBER EMPLOYED A Sepul | NAME AND ADDRESS OF EMPLOYER OR OCCUPATION Fairvote 6930 Coroll Are Takoma Part, MD 70912 | DATES AND NATURE OF SERVICES RENDERED Consultant, from January - Sept | | | | |
| | \ \ | State of RI | State Rep year-round | | | | |
| 7. | List the address or legal description or dependent child had a financial in | | ipal residence, in which you, your spouse, | | | | |
| | NAMES | NATURE OF INTEREST | ADDRESS OR DESCRIPTION | | | | |
| | None | | | | | | |
| 8. | | | om which you, your spouse, or dependent ssets if known. (Do Not List Amounts.) | | | | |
| | NAME OF TRUSTEE AND ADDRESS: | | | | | | |
| | NAME OF FAMILY MEMBER RECEIVING TRUST INCOME: | | | | | | |
| | ASSETS: | | | | | | |
| 9. | List the name and address of any buyour spouse, or dependent child hele | usiness organization or other entity, wh d a position as a director, officer, partne | ether for profit or non-profit, in which you, er, trustee, or a management position. | | | | |
| D | NAME OF FAMILY MEMBER | NAME AND ADDRESS OF BUSINES Providence Daily 7 15 Elmprove At Providence, RI O | oss position Partner 2906 | | | | |

| 10. | List the name and address of any interested person, or business entity, that made total gifts or total contribu- tions in excess of \$100 in cash or property during calendar year 2009 to you, your spouse, or dependent child Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10) | | | | | |
|-----|---|--|--|--|--|--|
| | NAME OF PERSON RECEIVING GIFT OR CONTRIBUTION NAME AND ADDRESS OF PERSON OR ENTITY MAKING GIFT OR CONTRIBUTION ONL | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| 11. | List the name and address of any business in which you, your spouse, or dependent child individually of collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest. | | | | | |
| T | NAME OF FAMILY MEMBER NAME AND ADDRESS OF BUSINESS ROY Leve Day Dose, (| | | | | |
| | Providence, RI 02906 | | | | | |
| 12. | If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2009 with a state or municipal agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following: | | | | | |
| | NAME AND ADDRESS OF BUSINESS NAME OF AGENCY DATE AND NATURE OF TRANSACTION When I have the office of the | | | | | |
| | None, save the rde the Office of the Sec. of State plays in regulating LLCs, relative to the Providence Paily Dose | | | | | |
| | relation to the Providence Raily Dose | | | | | |
| 13. | If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipa agency, AND you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following: | | | | | |
| | NAME AND ADDRESS OF BUSINESS NAME OF REGULATING AGENCY | | | | | |
| | Vone, save same careat es in item 12. | | | | | |

| 14. | If you, your spouse, or dependent chilinterest or a \$5,000 or greater ownership date you file this statement AND if said are an employee or a member, or over whether the statement and the said are an employee or a member. | or investment interest in a business or business was regulated by a state | after January 1, 2010 and before the or municipal agency of which you |
|-----|---|--|---|
| | NAME AND ADDRESS OF BUSINESS | | PTION OF INTEREST (NOT AMOUNT) ATE ACQUIRED AND/OR DIVESTED |
| | NAME OF REGULATING AGENCY | | HOW REGULATED |
| 15. | If you, your spouse, or dependent child inca \$5,000 or greater ownership or investme file this statement, which did business in employee or a member, or over which you | ent interest in a business after Janua excess of \$250 with a state or mu u exercise direct or legislative autho | ary 1, 2010 and before the date you nicipal agency of which you are an |
| | NAME AND ADDRESS OF BUSINESS D | DESCRIPTION OF INTEREST ATE ACQUIRED AND/OR DIVESTED (DO NOT INCLUDE AMOUNT) | NAME OF STATE OR MUNICIPAL AGENCY |
| | None | | |
| 16. | If you, your spouse or dependent child ness entity or other organization other tany time within the third degree of consulted States where such indebtedness sively as your principal residence, or (in please list the following: | than (i) any person related to you, canguinity, or (ii) a financial institution is secured solely by a mortgage of | your spouse or dependent child at on regulated by any state or by the record on real property used exclu- |
| | NAME AND ADDRESS OF DEBTOR | NAM | IE AND ADDRESS OF LENDER |
| | I certify under penalty of perjury, that this F presented as to the financial information and children. I acknowledge that I may request the Code of Ethics. I understand that a coby contacting the Ethics Commission. | ad interests during the year 2009 of m an advisory opinion from the Ethics opy of the Code of Ethics will be prov | yself, my spouse, and my dependent Commission as to my conduct under viden to me at no cost upon request |
| | State of Rhode Island Proudence | 20 | NATURE A |
| | Subscribed and sworn to before me at | PROVINENCE this | 9 day of 1910 20 10. |
| | My Commission expires: 7-1 | /. / 2 SIGNATU | RE OF NOTARY PUBLIC |
| | THIS STATEMENT WILL BE RETUR | RNED IF IT IS NOT SIGNED AND | NOTARIZED ÁND IF ANY |

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF <u>ANY</u>

QUESTION IS NOT ANSWERED.